

## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

Appln. Ser. No.:	Filed:	Inventor(s):	Atty Dkt:
09/701,420	27 January 2000	K. Kumasaka	114GI-127 (0694-127)
Title: PIEZOELECTRIC TRANSFORMER			
Examiner: M.O. Budd		Art Unit: 2834	

Asst. Comm'r for Patents  
Washington, D.C. 20231-0001

5 Pages Total VIA FACSIMILE  
703-746-6880

Attn: Examiner Wynette

RECEIVED

LETTER

JUN 05 2003

CSO 2800

Dear Sir:

In connection with the above-identified application, transmitted with this letter are the following papers sent originally on 15 January 2003 with the RCE:

- 1- revocation of attorney;
- 2- new power of attorney;
- 3- certification under § 3.73(b); and

my fax confirmation of having sent 3- to Ms. Dozier at 703-746-7198 on 28 May.

Respectfully submitted,

**CERTIFICATE OF MAILING OR  
TRANSMISSION – 37 CFR 1.8**

I hereby certify that I have a reasonable basis that this paper, along with any referred to above, (i) are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231, or (ii) are being transmitted to the U.S. Patent & Trademark Office in accordance with 37 CFR § 1.6(d)

DATE 5 June 2003

NAME: BRAD RUBEN

SIGNATURE: Brad Ruben

  
Bradley N. Ruben, Reg. No. 32,058  
Bradley N. Ruben, PC  
463 First St., Suite 5A  
Hoboken, NJ 07030-1859  
201-239-0707 (fax -0734)  
mail@rubenpatent.com

5 June 2003

09/701,420

Page 1 of 1

114GI-127

## MESSAGE CONFIRMATION

MAY-28-2003 13:18 WED

FAX NUMBER : 2012390734  
NAME : BRADLEY N. RUBEN

NAME/NUMBER : 17037467198  
PAGE : 001  
START TIME : MAY-28-2003 13:17 WED  
ELAPSED TIME : 00' 30"  
MODE : STD ECM  
RESULTS : [ O.K ]

PTO/BDP (3-88)  
Approved for use through 06/01/2005, OMB 5010-0001  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(h)**

Applicant: K. Kumasaka

Application No. 09/701,420 Filed: 27 January 2000

Entitled: Piezoelectric Transformer

NEC TOKIN Corporation is corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or

2. ☐ an assignee of an undivided part interest

In the patent application identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 10803, Frame 127, or for which a copy thereof is attached.

OR

B. [ ] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

December 10, 2002  
Date

Tadamichi Nakasato  
Signature

Tadamichi Nakasato  
Typed or printed name

General Manager of Intellectual  
Title Property Division

Shorthand Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assignment Commissioner for Patents, Washington, DC 20231.

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant: K. Kumasaka

Application No.: 09/701,420 Filed: 27 January 2000

Entitled: Piezoelectric Transformer

NEC TOKIN Corporation, a corporation

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 10603, Frame 127, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

December 10, 2002  
Date

Tadamichi Nakasato  
Signature

Tadamichi Nakasato  
Typed or printed name

General Manager of Intellectual  
Title Property Division

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.